

**Schedule F of
Form ADV
Continuation Sheet for Form ADV Part II**

Adviser: Sammy Lee Gott	SEC File Number: 801-	Date: 12/10/2007
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(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1. Full name of Adviser exactly as stated in Item 1A of Part I of Form ADV: Sammy Lee Gott	IRS Empl. Ident. No.:
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Item of Form (identify)	Answer
Item 1.D	<p>PORTFOLIO MANAGEMENT SERVICES</p> <p>Sam Gott provides portfolio management services on both a discretionary and non-discretionary basis. Discretionary accounts will have security transactions placed on their behalf when deemed necessary and prudent by Sam Gott without obtaining specific client consent. Transactions so placed will be based upon individual client circumstances and need. No investment discretion means that Sam Gott will make a recommendation to a client and will not take action without obtaining (and documenting) specific client consent.</p> <p>To determine the individual needs of a client, each client will be required to complete a Confidential Investor Profile containing a variety of personal and financial information. Requested information includes investment goals, income requirements, time horizons, and risk tolerances, among others. Through an analysis of this data Sam Gott develops an asset allocation recommendation for the client. Sam Gott will refine the asset allocations as situations warrant based on market conditions or changes to the client's personal or family obligations.</p> <p>Sam Gott will then recommend on behalf of each client a number of mutual funds (no-load or funds offered at NAV), stocks or bonds that have been determined through proprietary computer research and fundamental analysis to be the appropriate risk adjusted choices for the client portfolio. Sam Gott will then continuously monitor each client's portfolio and when deemed appropriate by the client will either make changes or recommend changes in both asset class allocations as well as specific security selections.</p> <p>Clients will have the opportunity to place reasonable restrictions on the management of their portfolio such as limiting individual securities, asset allocation weighting, industries, etc.</p> <p>All clients will be required to enter into an advisory agreement with Sam Gott to receive these services. Portfolio management services will be billed quarterly, in advance, of the services provided. For accounts that are opened or closed during a calendar quarter, the fee due and payable will be pro-rated for the period.</p> <p>Typically, Sam Gott will receive its advisory fee on a directly debited basis that is the client will authorize Sam Gott to have Sam Gott's advisory fees directly debited from the account through our advisory contract with the client. In turn, the client will authorize the custodian of the client assets to pay the fee.</p> <p>In addition, Sam Gott will send a quarterly invoice / statement which shows the amount of assets on which the fee is based, the percentage fee, the calculation of the fee and the amount of the fee due for the period. Each client will receive a statement from their custodian showing all debits and credits to the account including the fees payable to Sam Gott, among other items.</p> <p>If a client account does not have sufficient cash or cash equivalents to pay Sam Gott's fees, clients who have given Sam Gott investment discretion will have an appropriate amount of assets liquidated to pay the fee. Clients who have not provided Sam Gott with investment discretion will need to approve the transaction to raise the appropriate amount of cash to cover fees.</p>

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	<p>MONEY MANAGER SEARCH AND MONITORING:</p> <p>Sam Gott performs management searches of various independent, third party registered investment advisers, who pay a referral fee to Sam Gott for the referral of the client to the third party. Based on a client's individual circumstances and needs, Sam Gott will determine which independent adviser's portfolio is appropriate for the client. Factors considered in making this determination include account size, risk tolerance and the investment philosophy of the independent adviser. Clients should refer to the independent adviser's Form ADV, Part 2 for a full description of the portfolio management services offered. Sam Gott will meet with the client on a regular basis, or as determined by the client, to review the account.</p> <p>If Sam Gott believes that a particular independent adviser is performing inadequately, or if Sam Gott believes that a different manager is more suitable for a client's particular needs, then Sam Gott may suggest that the client contract with a different adviser. Under this scenario, Sam Gott will assist the client in selecting a new adviser, and then monitor the discretion of the client. Sam Gott will typically only recommend the use of advisers that pay Sam Gott a referral fee as described above.</p> <p>Sam Gott will be under contract to make referrals to these third parties, Sam Gott will be providing the third party adviser's Form ADV, Part 2, Sam Gott's Form ADV, Part 2 and a "separate disclosure statement" required by the rule that each client will sign and date.</p> <p><u>Fee Schedule for Manager Search and Monitoring:</u></p> <p>Sam Gott will be paid by the independent adviser for whom he solicits, based on a percentage of the client's managed account. The independent adviser will charge the client its disclosed and standard advisory fee with a portion payable to Sam Gott for the referral. Typically, Sam Gott compensation under this type of referral to third party money managers ranges from one 25 basis points to 150 basis points of the standard fee charged by the third party adviser.</p> <p>FINANCIAL PLANNING SERVICES</p> <p>Sam Gott also provides investment advice in the form of a Financial Plan. Clients purchasing this service will receive a written financial plan which provides the client with a detailed financial plan designed to achieve their stated financial goals and objectives.</p> <p>In general, the financial plan will address the following areas:</p> <ul style="list-style-type: none"> -PERSONAL: Family records, budgeting, personal liability, estate information, and financial goals. -TAX & CASH FLOW: Income tax and spending analysis and planning for past, current, and future years. Sam Gott will illustrate the impact of various investments on a client's current income tax and future tax liability. -DEATH & DISABILITY: Cash needs at death, income needs of surviving dependents, estate planning and disability income analysis.

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	<p>-RETIREMENT: Analysis of current strategies and investment plans to help the client achieve his or her retirement goals.</p> <p>-INVESTMENTS: Analysis of investment alternatives and their effect on a client's portfolio.</p> <p>Sam Gott gathers required information through in-depth personal interviews. Information gathered includes a client's current financial status, future goals, and attitudes towards risk. Related documents supplied by the client are carefully reviewed, including a questionnaire completed by the client, and a written report is prepared.</p> <p>Should a client choose to implement the recommendation contained in the financial plan, Sam Gott suggests the client work closely with his/her attorney, accountant, and/ or tax advisor.</p> <p><u>Financial Planning Fees:</u> The fee for Comprehensive Financial Planning will be charged, on a fixed fee basis, according to the client's net worth, as identified below:</p> <p><u>Net Worth Fee (\$)</u> \$1 - \$1 million, the fee is \$1,500 \$1 million - \$3 million, the fee is \$3,000 \$3 million and above, the fee is Negotiable</p> <p>50% of this fixed fee up to the amount of \$500 will be due upon signing the advisory / financial planning agreement, with the balance due upon presentation of the plan to the client. Typically the financial plan will be presented to the client within 90 days of the contract date, provided that all information needed to prepare the financial plan has been promptly provided by the client.</p> <p>CONSULTING</p> <p>Clients can also receive investment advice on a more limited basis. This may include advice on only an isolated area(s) of concern such as estate planning, retirement planning, or any other specific topic. Sam Gott also provides specific consultation and administrative services regarding investment and financial concerns of the client.</p> <p>Sam Gott also provides advice on non-securities matters. Generally, this is in connection with the rendering estate planning, insurance, and/or annuity advice.</p> <p><u>Fee Schedule for Consulting Services:</u></p> <p>Fees for consulting services will be billed on an hourly basis, at the rate of \$225 per hour, depending on the nature and complexity of each client's circumstances. An estimate for total hours will be determined at the start of the advisory / consulting relationship. 50% of the estimated fee, up to the amount of \$500, will be due upon presentation of the service to the client. Not all consulting services will result in recommendations in writing, it is anticipated that certain services will be provided verbally.</p>

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1. Full name of Adviser exactly as stated in Item 1A of Part I of Form ADV: Sammy Lee Gott	IRS Empl. Ident. No.:
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	<p>PENSION CONSULTING</p> <p>Sam Gott provides several advisory services separately or in combination. While the primary clients for these services will be pension, profit sharing, 401(k) plans, and their participants, Sam Gott will also offer these services, where appropriate to individuals and trusts, estates, charitable organizations and corporations. Sam Gott's Pension Consulting is comprised of three distinct services. Clients may choose to use any or all of these services:</p> <p><u>Design and Implementation of Pension Programs:</u></p> <p>Sam Gott will work in conjunction with the client to design the most appropriate pension program for each client's individual needs.</p> <p><u>Search and Monitoring of Pension Portfolio Managers and Pension Fund Administrators:</u></p> <p>This portion of the service will be identical to the Money Manager Search and Monitoring service as described above, with the only difference being that Pension Fund Administrators are added to the search component of the service.</p> <p><u>Consulting for Pension Employees:</u></p> <p>For a Pension Consulting client, Sam Gott will contract with the client for a specific number of consultations, whereby Sam Gott will consult with the individual plan participant to determine the most appropriate asset allocation for each participant. Sam Gott will also consult with the plan on the optimum way to "blend" any existing assets the client may have in other 401(k) plans or IRA's with assets to be invested in the current. This is part of the overall service.</p> <p>If the individual plan participant wishes to contract with Sam Gott for a complete financial plan that incorporates other aspects of the individual's situation (such as particular insurance or tax needs) a separate contract will be entered into with the participant and Sam Gott for his Financial Planning Services at his standard fee schedules (see above disclosures).</p> <p><u>Fees for Pension Consulting Services:</u></p> <p>Fees for this service will be charged in on of two ways:</p> <p>For the design and implementation portion of this service; a fixed fee will typically be charged based on the size and scope of the program. Typically, this will be a flat fee of \$35 per employee, with a minimum fee of \$750. As described above, an initial consultation for the individual participants may be included in this fee.</p> <p>On an hourly basis, at the rate of \$225 per hour, depending on the nature and complexity of each client's circumstances. An estimate for total hours will be determined at the start of the advisory relationship.</p> <p>For the Search and Monitoring of Pension Portfolio Managers, Sam Gott will be paid by the independent adviser for whom it solicits, based on a percentage of the client's managed assets (ranging from 25 to 150 basis points), which is included in the independent investment adviser's annual management fee, depending on the size of the account.</p>

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	<p>GENERAL INFORMATION ON ADVISORY SERVICES AND FEES:</p> <p>In certain circumstances, all fees and account minimums may be negotiable.</p> <p>A client agreement may be cancelled at any time, by either party, with 30 days written notice unless otherwise specifically identified. Upon termination of any account, any prepaid, unearned fees will be promptly refunded, and any unearned, unpaid fees will be due and payable.</p> <p>Similar advisory services may be provided to employees, family members of employees or friends of the firm for lesser fees or for no fee. These fee options are not available to clients.</p> <p>All fees paid to Sam Gott for investment advisory services are separate and distinct from the fees and expenses charged by mutual funds to their shareholders. These fees and expenses are described in each fund's prospectus. These fees will generally include a management fee, other fund expenses, and a possible distribution fee. If the fund also imposes sales charges, a client may pay an initial or deferred sales charge.</p> <p>Sam Gott may receive payments from certain mutual funds distributed pursuant to a 12b-1 distribution plan or other such plans as compensation for administrative services, representing a separate financial interest. Under these circumstances Sam Gott will use this compensation to offset the advisory fees so the total fee to clients will not increase.</p> <p>A client could invest in a mutual fund directly, without the services of Sam Gott. In that case, the client would not receive the services provided by Sam Gott which are designed, among other things, to assist the client in determining which mutual fund or funds are most appropriate to each client's financial condition and objectives. Accordingly, the client should review both the fees charged by the funds and the fees charged by Sam Gott to fully understand the total amount of fees to be paid by the client and to thereby evaluate the total costs of the advisory services being provided.</p>
Item 2.G	<p>TYPE OF CLIENTS</p> <p>Sam Gott may also provide advice to municipalities and other organizations not previously specified.</p>
Item 3.L	<p>TYPES OF INVESTMENTS</p> <p>Investment advice may also be provided on limited partnerships and private placement partnerships investing in equipment leasing. Investment advice may also be provided on Real Estate Investment Trusts (REITs), mortgage-backed securities and U.S. Government Agency Debentures.</p>
Item 5	<p>EDUCATION AND BUSINESS STANDARDS</p> <p>Advisory persons associated with Sam Gott must possess, minimally, the following: A college degree and/or appropriate business experience and all required regulatory or qualification licenses.</p>

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Item of Form (identify)	Answer
Item 6	<p>EDUCATION AND BUSINESS BACKGROUND</p> <p>SAMMY L. GOTT BORN: 1942</p> <p>Education: Graduated from Northwest Missouri State University in 1975 with an A.A. in Technology. Attended Southwest Texas State University from 1983 to 1985, studying insurance Management. Also graduated from The American College in 1988 with a M.S. in Financial Services.</p> <p>Employment Background:</p> <p>Self Employed 06/2002 to Present Sr. VP. of Harborstone Services Corporation, 03/99 to 06/2002 Registered Representative of Dominion Investor Services, Inc. 06/00 to present Registered Representative of Pacific West Securities, Inc., 02/99 to 06/00; Sole Proprietor investment adviser dba Sunset Financial Services from 11/96 to 02/99 and 01/97 to 09/97 Manager for Prudential Securities, Inc. from 03/96 to 11/96 Registered Representative of American General Securities Corp., 02/90 to 01/96; Self Employed, 03/89 to 03/96</p> <p>Other business Activities</p> <p>Sam Gott is also registered as a representative (RR) of Dominion Investor Services, Inc. (Dominion); 1100 NW Loop 410, Suite 800, San Antonio, Texas 78213 is an FINRA registered securities broker-dealer. Sam Gott is an insurance agent and sells insurance products for various insurance companies. Sam Gott is also general insurance agency.</p> <p>As a RR Sam Gott can buy and sell securities on behalf of clients and as an insurance agent he can buy and sell insurance products on behalf of clients. The compensation he receives for completing any securities or insurance transactions under some circumstances is in addition to and separate from any advisory fees. However, clients are under no obligation to act upon any recommendations of Sam Gott or effect any transactions through Sam Gott or any firm he recommends if they decide to follow the recommendations.</p> <p>Sam Gott is a tax preparer. Income Tax Forms Preparation (Preparer's Tax ID# P00457505)</p>
Items 7 (A, B & C) & 8.C (9)	
Items 9 (B & E)	<p>PARTICIPATION OR INTEREST IN CLIENT TRANSACTIONS:</p> <p>Sam Gott may buy or sell securities identical to those recommended to customers for his personal account. A related person(s) may have an interest or position in a certain securities which may also be recommended to a client. It is the expressed policy of Sam Gott that no person employed by Sam Gott may purchase or sell any security prior to a transaction(s) being implemented for an advisory account which is designed to prevent employees from benefiting from transactions placed on behalf of advisory accounts.</p>

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Item 10	<p>As these situations represent a conflict of interest, Sam Gott has established the following restrictions in order to ensure its fiduciary responsibilities:</p> <p>Sam Gott shall not buy or sell securities for their personal portfolio(s) where his decision is substantially derived, in whole or in part, by reason of his employment unless the information is also available to the investing public on reasonable inquiry. Sam Gott shall not prefer his interest to that of the advisory client.</p> <p>The firm maintains a list of all securities holding for Sam Gott, and anyone associated with the advisory practice with access to advisory recommendations. These holdings are reviewed on a regular basis.</p> <p>Sam Gott emphasizes the unrestricted right of the client to decline to implement any advice rendered, except in situations where the client has provided Sam Gott with Investment Discretion.</p> <p>Sam Gott emphasizes the unrestricted right of the client to select and choose any broker or dealer and/or insurance company for the implementation of investment recommendations.</p> <p>Sam Gott will act in accordance with all applicable Federal and State regulations governing registered investment advisory practices.</p> <p>Code of Ethics</p> <p>The Adviser has adopted a Code of Ethics for the purpose of instructing its personnel in their ethical obligations and to provide rules for their personal securities transactions. The Adviser and its personnel owe a duty of loyalty, fairness and good faith towards their clients, and the obligation to adhere not only to the specific provisions of the Code but to the general principles that guide the Code.</p> <p>The Code covers a range of topics that may include: general ethical principles, reporting personal securities trading, exceptions to reporting securities trading, reportable securities, initial public offerings and private placements, reporting ethical violations, distribution of the Code, review and enforcement processes, amendments to Form ADV and supervisory procedures. The Adviser will provide a copy of the Code to any client or prospective client upon request.</p> <p>CONDITIONS FOR MANAGING AN ACCOUNT</p> <p>Sam Gott requires a minimum account size of \$50,000 for Money Manager Search and Monitoring clients and a minimum fee of \$1,500 for Financial Planning clients. However, under certain circumstances both minimum requirements may be negotiable.</p>

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Item of Form (identify)	Answer
Item 12.A	<p>DISCRETIONARY AUTHORITY</p> <p>For certain portfolio management clients, Sam Gott will have the discretionary authority to pick the securities and the amount of securities for the purchase and sale for the client's account, without obtaining specific client consent. These purchases and sales will be guided by the stated investment objectives of each client. A client may impose reasonable restrictions on the services provided for the account (for example, indicating that no tobacco or defense stocks may be purchased). All restrictions shall be documented to Sam Gott in writing; they may be amended in writing and will be implemented when accepted by Sam Gott. The firm reserves the right to refuse or to terminate any client account (pursuant to the contract's termination clause) if the client imposes un-reasonable restrictions as determined by Sam Gott.</p>
Item 12.B	<p>RECOMMENDATION OF A BROKER DEALER/CUSTODIAN</p> <p><u>Portfolio Management Clients:</u> For client in need of brokerage and custodial services, Sam Gott will recommend Dominion Investor Services, Inc. where Sam Gott is licensed as an RR. No client is obligated to use any recommended broker dealer for their securities transactions or custodial services.</p> <p>As Sam Gott does not have the discretionary authority to determine the broker dealer to use or the commissions to pay for clients transactions, a client must direct Sam Gott as to the broker dealer to be used. I have negotiated what I believe to be reasonable commissions. Dominion Investor Services, Inc. and First Southwest Company have best executions policies and I check periodically on their best execution practices.</p> <p><u>Money Manager Search and Monitoring/Pension Consulting clients:</u></p> <p>Clients of this service should refer to the Form ADV, Part 2 of the brokerage arrangements. The firms to which Sam Gott refers clients will not place trades through Sam Gott in his separate capacity as RR of Dominion.</p> <p><u>Financial Planning / Consulting / Employee Benefit Clients:</u></p> <p>As stated above, Sam Gott will recommend Dominion to clients in need of a broker and custodian. The recommendation will be made to financial planning or consulting clients to implement recommendations through Sam Gott who is RR of Dominion, in their separate capacity. No client is obligated to use any recommended broker. Sam Gott may accept commissions or other compensation from the implementation of financial planning recommendations. When Sam Gott receives any commissions the amount of the commissions received is offset against advisory fees. Clients should be aware that best execution and lower commissions may not necessarily be achieved if recommended transactions are placed through these individuals, in their separate capacities as registered representatives or insurance agents/brokers.</p>

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Item 13.A	<p>ADDITIONAL COMPENSATION</p> <p>Sam Gott may, from time to time, receive incentive awards for the recommendation/introduction of investment products such as mutual funds or insurance. Sam Gott or individuals associated with Sam Gott who are licensed through Dominion may, from time to time, receive 12b-1 distribution fees from investment companies in connection with the placement of client funds into investment companies.</p> <p>Sam Gott will act as a solicitor for various registered investment advisers, and for doing so will receive an ongoing solicitation fees for such referrals. As a solicitor, Sam Gott is aware of the special considerations promulgated under Texas State laws. As such, appropriate disclosure shall be made and all applicable Federal and State laws will be observed. Such services are provided under Money Manager Search and Monitoring Services; clients should understand that only those advisers that have agreed to pay Sam Gott a referral fee will be recommended by Sam Gott.</p>

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